



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q92028

Aya ONO, et al.

Appln. No.: 10/560,934

Group Art Unit: Unknown

Confirmation No.: 4074

Examiner: Unknown

Filed: December 15, 2005

For:

POLYCARBONATE AND METHOD FOR PRODUCTION THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

Attorney Docket No. Q92028

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

The disclosure on page 2 of the present specification and the submission of

corresponding U.S. Patent 4,506,066 for DE 29 38 464 A1 constitutes a concise statement of

relevance of DE 29 38 464 A1.

2. The disclosure on page 2 of the present specification and the English language abstract

at the beginning of the document DIETRICH BRAUN AND MATTHIAS BERGMANN,

Fortschrittsbericht · Progress Report, 1,4:3,6-Dianhydrohexite als Bausteine für Polymere;

Journal für praktische Chemie Chemiker-Zeitung, vol. 334 (1992) pages 298-310, constitutes a

concise statement of relevance of that document.

3. The disclosure on page 2 of the present specification and the submission of an English

language abstract for EP 0033089 corresponding to JP 56-110723 A constitutes a concise

statement of relevance of JP 56-110723 A.

4. The disclosure on page 3 of the present specification for the document Polycarbonate

resin handbook, edited by Seiichi Honma, Nikkan Kogyo Shinbun Co., page 21 (1992),

constitutes a concise statement of relevance of that document.

5. The disclosure on page 3 of the present specification for the document Okada et al.

Abstract of the seventh open symposium on "Polymers with low environmental loads":

Construction of a sustainable material system based on production of plastics with Scientific

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INFORMATION DISCLOSURE STATEMENT

U.S. Application No.: 10/560,934

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Research on Priority Areas (B) supported by Grant-in Aid for Scientific Research of Ministry of

Education, Culture, Sports, Science and Technology, pages 26-29 (2002), constitutes a concise

statement of relevance of that document.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

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Date: May 31, 2006

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Substitute for Form 1449 A & B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

(use as many sheets as necessary)

| Complete if Known | | | | | |
|------------------------|-------------------|------------|--|--|--|
| Application Number | 10/560,934 | <u>-</u> . | | | |
| Confirmation Number | 4074 | | | | |
| Filing Date | December 15, 2005 | | | | |
| First Named Inventor | Aya ONO | | | | |
| Art Unit | Unknown | | | | |
| Examiner Name | Unknown | | | | |
| Attorney Docket Number | O92028 | | | | |

| U.S. PATENT DOCUMENTS | | | | | |
|------------------------------|------|-----------------|-----------------------------------|------------------|---|
| Examiner Cite Initials* No.1 | Cita | Document Number | | Publication Date | |
| | | Number | Kind Code ² (if known) | MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
| | | US 4,506,066 | | 03-19-1985 | Medem et al. |

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| | Cite | Foreign Patent Document | | | Publication Date | Name of Patentee or | |
| | No.1 | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | Applicant of Cited Document | Translation ⁶ |
| | | DE | 29 38 464 | Al | 04-09-1981 | Bayer AG (DE) | Corresponding US Patent No. 4,506,066 |
| | | JP | 56-110723 | A | 09-02-1981 | Bayer AG | Abstract for corresponding EP 0033089 |

| NON PATENT LITERATURE DOCUMENTS | | | | | | | | |
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| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | | | | | | |
| | | DIETRICH BRAUN AND MATTHIAS BERGMANN; Fortschrittsbericht · Progress Report, 1,4:3,6- Dianhydrohexite als Bausteine für Polymere; Journal für praktische Chemie Chemiker-Zeitung, vol. 334 (1992) pages 298-310 | abstract | | | | | |
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| Examiner Signature | | | Date Considered | |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.